

#21 REQUEST FOR RCE

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## FACSIMILE TRANSMITTAL COVER SHEET

DATE: Feb 9 2004 ATTORNEY DOCKET NUMBER: NAV 8224  
PTO FACSIMILE NUMBER: (703) 872-9306 1801 770.  
1251 118.PLEASE DELIVER THIS FACSIMILE TO: Examiner E. Mantis Mercader  
THIS FACSIMILE IS BEING SENT BY: Frank R. Agovino  
NUMBER OF PAGES: 22 INCLUDING COVER SHEETTIME SENT: 3:50pm OPERATOR'S NAME Cindy Ard

## CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to  
the Patent and Trademark Office on the date shown below.

Cindy A. Ard

Typed or printed name of person signing certification

Signature

Date

Type of paper transmitted: Amendment C, RCE and Fee TransmittalApplicant's Name: Richard D. Bucholz et al.Serial No. (Control No.): 09/832,848 Examiner: E. Mantis MercaderFiling Date: April 12, 2001 Art Unit: 3737Application Title: SURGICAL NAVIGATION SYSTEMS INCLUDING REFERENCE  
AND LOCALIZATION FRAMESIF YOU DO NOT RECEIVE ALL PAGES CLEARLY, CALL BACK AS SOON AS  
POSSIBLE. CONFIRMING NUMBER IS (314) 231-5400.

NAV 8224  
PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Richard D. Bucholz et al.      Art Unit 3737  
Serial No. 09/832,848  
Filed April 12, 2001  
Confirmation No. 2372  
For SURGICAL NAVIGATION SYSTEMS INCLUDING REFERENCE AND  
LOCALIZATION FRAMES  
Examiner E. Mantis Mercader

February 9, 2004

REQUEST FOR CONTINUED EXAMINATION TRANSMITTAL

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

SIR:

This is a Request for Continued Examination (RCE) under 37  
C.F.R. §1.114 of the above-identified application.

## 1. REQUIRED SUBMISSION:

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37  
C.F.R. §1.116 previously filed on \_\_\_\_\_
  - ii. ☐ Consider the arguments in the Appeal Brief or  
Reply Brief previously filed on \_\_\_\_\_
  - iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply C
  - ii. ☐ Affidavit(s)/Declaration(s)
  - iii. ☐ Information Disclosure Statement
  - iv. ☐ Other \_\_\_\_\_

## 2. MISCELLANEOUS

- a. ☐ Suspension of action on the above-identified  
application is requested under 37 C.F.R. §1.103(c)  
for a period of \_\_\_\_\_ months. (Period of  
suspension shall not exceed 3 months; fee required)
- b. ☐ Other \_\_\_\_\_

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## 3. FEES (Required when the RCE is filed)

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 19-1345:

- i. ☒ RCE fee required under 37 C.F.R. §1.17(e)
- ii. ☒ Extension of time fee
- iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$\_\_\_\_\_ is enclosed, along with a Fee Transmittal

Respectfully submitted,



Frank R. Agovino, Reg. No. 27,416  
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FEE TRANSMITTAL

Application Number 09/832,848  
Filing Date April 12, 2001  
Confirmation No. 2372  
Inventor(s) Richard D. Bucholz et al.  
Group Art Unit 3737  
Examiner Name E. Mantis Mercader  
Attorney Docket Number NAV 8224

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.  
☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.  
☐ Applicant claims small entity status.
2. ☐ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. ☐ BASIC FILING FEE Subtotal (1) \$ \_\_\_\_\_  
(Type: \_\_\_\_\_)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ \_\_\_\_\_  
Total Claims \_\_\_\_\_  
Independent Claims \_\_\_\_\_  
Multiple Dependent Claims \_\_\_\_\_
3. ☒ ADDITIONAL FEES Subtotal (3) \$880.00  
☐ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☒ Extension for reply within first month (\$110)  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☐ Recording each patent assignment per property  
☒ Request for Continued Examination (\$770)  
☐ Other: \_\_\_\_\_

TOTAL AMOUNT OF PAYMENT \$880.00

Frank R. Agovino  
Frank R. Agovino, Reg. No. 27,4162/9/04  
Date

FRACwa